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August 6, 2004

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From:

Nan Wu

Client-Matter Number:

2501331-995303

Re:

U.S. Application No. 10/808,880

Filing Date: March 24, 2004

Group Art Unit: 1614

Conf. No. 7468

415-836-2531

Pages: - 17 - (including this form)

Originals: □ will be mailed □ will not be mailed

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| AUG 0 6 2004 | | | | Application Number | 10/8 | 088,808 |
| AUG 0 6 2004 THE | TRANSMITTA FORM | | AL | Filing Data First Named Inventor | | reh 24, 2004 ven M. Watkins |
| | (to be used for | ell correspondence after i | njual filing) | Art Unit | 161 | |
| | Total Number of Pi | ages in This Submission | 16 | Examiner Name Attorney Docket Numb | | 11331-995303 |
| г | | | ENCLOS | URES (check all that a | (ylaar | |
| | Extension of Express Abar Information D Certified Cop Document(s) Response to Incomplete A | tached Reply Final avits/dectaration(s) Firme Request adonment Request . Isolosure Statement by of Priority Missing Parts/ | Drawing(Licensing Pelition Pelition Provision Request | | | After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): 1. Copy of original notice 2. Assignment document (w/PTO-1595 form) 3. Facsimile Certificate |
| | | SIGNATUR | E OF APPLICA | ANT, ATTORNEY, OR A | GENT | |
| 1 | Firm or Individual nama | Attn.: Nan Wu (Reg.) Gray Cary Ware & F 153 Townsend Street, | No. 43,360 | | | |
| | Şignature | W//h | | | | |
| | Date | June | | 8/6/0 | 4 | |
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| CERTIFICATE OF TRANSMISSION/MAILING I hereby cartify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United State sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexand | | | | | the United States Postal Service with 1450, Alexandria, VA 22313-1450 on the | |
| | Typed or printed na | me Ta-Tanisha L. | Mpore | | | |
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Then will vary depending upon individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|---|----------------------|-------------------|----------|
| FEE TRANSMITTAL | Application Number | 10/808,880 | |
| for FY 2004 | Filing Date | March 24, 2004 | |
| Effective 10/01/2003. Patent fees are subject to annual revision. | First Named Inventor | Steven M. Watkins | |
| Applicant claims small entity status. See 37 CFR 1,27 | Examiner Name | Ųakaown | <u> </u> |
| Applicant dains small chary seems. | Art Unil | 1614 | |
| | Attorney Docket No. | 2501331-095303 | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | | | |
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| Deposit Account 07-1896 | 1051 | 130 | 2051 | | Surchange - late I | | | 65.00 |
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| Name | | 2,520 | | 2,520 | For filing a reque | | te reexaminalion | |
| The Director is authorized to: (Check all that apply) | 1804 | | 1804 | 920" | | | R prior to Examiner | |
| Charge lee(5) Indicated below Credit any overpayments | | | | | action | | | <u></u> |
| Charge any additional fee(s) or any underpayment of fee(s) | 1805 | 1,840* | 1805 | 1,840* | Requesting publi | cadon or Si | K Siles Examines | |
| Charge fec(s) indicated below, except for the filing fee | 1251 | 110 | 2251 | 55 | Extension for rep | ily within firs | t month | |
| to the above-identified deposit account. | 1252 | 420 | 2252 | 210 | Extension for rep | ly within sc | cond month | |
| FEE CALCULATION | 1253 | 950 | 2253 | 475 | Extension for rep | y within this | rd month | |
| 1. BASIC FILING FEE | 1254 | 1,480 | 2254 | 740 | Extension for rep | aly within fou | ուր ածսը | |
| Jarge Entity Small Entity Fee Fee Fee Fee Description Fee Paid | 1255 | 2,010 | 2255 | 1.005 | Extension for rep | oly within fifti | h month | |
| Code (\$) Code (\$) | 1401 | 330 | 2401 | 165 | Notice of Appeal | | | |
| 1001 770 2001 385 Utility filing fee | 1402 | 330 | 2402 | 165 | Filing a brief in s | upport of an | appeal | |
| 1002 340 2002 170 Design filing (et | 1403 | 290 | 2403 | 145 | Request for oral | hearing | | |
| 1003 530 2003 265 Plant filing fee | 1451 | 1.510 | 1451 | 1,510 | Petition to institu | te a public ı | ise proceeding | |
| 1004 770 2004 385 Reissue filing fee | 1452 | 110 | 2452 | 55 | Pelition to revive | - unavoidal | ыс | |
| 1005 160 2005 80 Provisional filing lee | 1453 | 1,330 | 2453 | 685 | Petition to revive | - unintentio | nal | |
| SUBTOTAL (1) (\$) | 1501 | 1,330 | 2501 | 665 | Utility issue fee (| or,reissue) | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND | 1502 | - | | 240 | Dosign Issue fee | • | | |
| Fee from Extra Claims below Fee Paid | 1503 | 640 | 2503 | 320 | Plani issue fee | | | |
| Total Claims | 1460 | | 1460 | 130 | Politions to the C | oizzimmo | er | |
| independent | 1807 | | 1807 | 50 | Processing fee u | inder 37 CF | R § 1.17(q) | |
| Multiple Dependent | 1805 | 180 | 1806 | 150 | Submission of In | iformation D | isclosure | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | | | | | Statement | ootent essic | nment per property | |
| Code (\$) Code (\$) | 8021 | 40 | 8021 | | (times number o | f properties) | | 40.00 |
| 1202 18 2202 9 Claims in excess of 20 | 1809 | 770 | 2809 | 385 | Filing a submiss (37 CFR § 1.12 | ion after fina 29(a)) | al rejection | |
| 1201 86 2201 43 Independent claims in excess of 3 | 1810 | 770 | 2810 | 385 | | | n to be examined | |
| 1203 290 2203 145 Multiple dependent claim. if not paid | | | | | (37 CFR § 1.12 | ?9(b)) | | |
| 1204 86 2204 43 ** Reissue independent daims over priginal patent | 1801 | | 1 | | Request for Con | | | |
| 1205 18 2205 9 ** Reissuc claims in excess of 20 | 1802 | 900 | 1802 | 900 | Request for exp of a design appl | eulled exam lestion | unoton | |
| and over original patent | Oli | wr tee | (specify | n | | | | |
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| or number previously paid, if greater, For Roissues, see above / Reduced by B | | | | | | A | | |
| SUBMITTED BY Registration No. | | | | | Complete (| | +500 | |
| Name (Print/Type) | <u>/</u> | | y/Agent | | 43,360 | Telephone | (415) 82% | 2500 |
| Signature //////////////////////////////////// | , | | | | | Dale | 8/6 | 104 |

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| Response To P | Docket No. 2501331-995303 | | | | | | |
|---|--|--------------------------------|----------------------|--|--|--|--|
| In Re Application Of: Steven M. Watkins | | | | | | | |
| Serial No. | Serial No. Filing Date Examiner Group Art Unit | | | | | | |
| 10/808,880 | March 24, 2004 | Unknown | 1614 | | | | |
| Invention: METHODS OF USING QUANTITATIVE LIPID METABOLOME DATA | | | | | | | |
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| | TO THE COMMISS | IONER FOR PATENTS: | HADEMA | | | | |
| This is a response to the | e Notice to File Missing Parts of A | pplication - Filing Date Grant | | | | | |
| June 7, 2004 | | | | | | | |
| Date Enclosed herewith for file | ling are the following: | | , | | | | |
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| | tice to File Missing Parts of Applic | | | | | | |
| An eath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date. | | | | | | | |
| ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63. | | | | | | | |
| An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date. | | | | | | | |
| A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office. | | | | | | | |
| verified small entity declaration(s) | | | | | | | |
| ☐ iş/are attach | ned. | | | | | | |
| ☐ was/were fil | was/were filed on | | | | | | |
| ☐ A şeparate reque | ☐ A separate request for refund. | | | | | | |
| ☑ Other (list): | | | | | | | |
| Assignment Document (w/ PTO-1595 Form) Revocation of Power of Attorney and Appointment of New Power of Attorney | | | | | | | |
| 3. Fee Transmittal | | | | | | | |
| 4. Transmittal Form 5. Certificate of Transmission by Facsimile | | | | | | | |
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| Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Small Entity) Docket 2501331-99 | | | | | | | | |
|---|--|---|---|--|--|--|--|--|
| In Re Application Of: Steven M. Watkins | | | | | | | | |
| Serial No. | Filing Date | Examiner | Group Art Unit | | | | | |
| 10/808,880 March 24, 2004 Unknown 1614 | | | | | | | | |
| Invention: METHODS OF USING QUANTITATIVE LIPID METABOLOME DATA | | | | | | | | |
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| | | r late filing of original declaration or | oath \$65,00 | | | | | |
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| Petition and fee for filing by other than all the inventors or a person not the inventor | | | | | | | | |
| Fee for processing an application filed with a non-English language specification | | | | | | | | |
| ☐ Fee for proce | essing and retention of applicatio | n | | | | | | |
| | | Total completion of applicat | ion fees <u>\$65.00</u> | | | | | |
| above-identified Notice | to File Missing Parts of Application | 1.136(a) to extend the period for on. The requested extension is as se consider this a petition therefor. | r filing a response to the s follows (check time period | | | | | |
| ☐ One month | ☐ Two months ☐ T | Three months | ☐. Five months | | | | | |
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| | [Page | 2 of 3] | P31SMALL/REV02 | | | | | |

| Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533) (Small Entity) Docket No. 2501331-995303 | | | | | | |
|--|--|----------------------------|---|--|--|--|
| In Re Application Of: S | teven M. Watkins | | | | | |
| Serial No. 10/808,880 | Filing Date March 24, 2004 | Exami Unkno | | Group Art Unit 1614 | | |
| Invention: METHODS | OF USING QUANTITATIVE L | PID METABOLON | Æ DATA | | | |
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| ☐ The Director is he Deposit Account N ☐ If an additional ex | ount of the fee is enclosed. reby authorized to charge any fe No. 07-1896. tension of time is required, pleas s which may be required to Depo | e consider this a pe | atition therefor ar | | | |
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| Nan Wu (Reg. No. 43,360 Gray Cary Ware & Freit 153 Townsend Street, Su San Francisco, CA 9410 | Signature O) denrich LLP ite 800 | t ce on first Com | class mail under 37 missioner for Paten 3-1450. | ment and fee is being deposited with the U.S. Postal Service as C.F.R. 1.8 and is addressed to the ts, P.O. Box 1450, Alexandria, VA | | |
| cc: | | | | facsimile certificate | | |
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| CERTIFICATE OF 'Applicant(s): Steven M. | TRANSMISSION BY FACS | SIMILE (37 CFR 1.8) | | Docket No. 2501331-995303 |
|---|-----------------------------------|--|--------------|------------------------------|
| Serial No. 10/808,880 | Filing Date March 24, 2004 | Examiner Unknown | ŕ | Group Art Unit 1614 |
| Invention: METHODS | OF USING QUANTITATIVE LI | PID METABOLOME DATA | | |
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| I hereby certify that this | | se to File Missing Parts of App (Identify type of correspondence) | | |
| on Ay, 6, 200 (Date) | nitted to the United States Peten | and Trademark Office (Fax. | No. <u>(</u> | 703) 746-4060 |
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Page 1 of 2



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. DOI:150 Alaumbia, Virginia 22313-1430

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/808,880

03/24/2004

Steven M. Watkins

6556-68013

CONFIRMATION NO. 7468

Nan Wu, Ph.D., J.D. Gray Cary Ware & Freidenrich LLP Suite 800 153 Townsend Street San Francisco, CA 94107 Date Mailed: 06/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

08/10/2004 MBELETE1 00000056 071896

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A copy of this notice MUST be returned with the reply.

Page 2 of 2

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